

New Reactivity Patterns of the β -Lactam Ring: Tandem C3-C4 Bond Breakage-Rearrangement of 4-Acyl- or 4-Imino-3,3-dimethoxy-2-azetidiones Promoted by $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$

Benito Alcaide,* Yolanda Martín-Cantalejo,
Julián Rodríguez-López, and Miguel A. Sierra

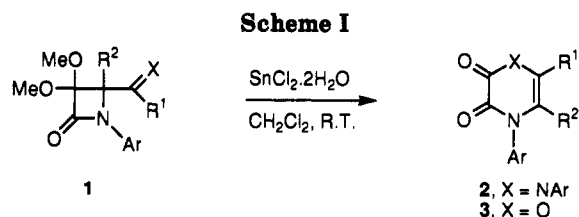
Departamento de Química Orgánica I, Facultad de Química,
Universidad Complutense, 28040-Madrid, Spain

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Introduction

Besides their use in the synthesis of β -lactam antibiotics,¹ 2-azetidiones are versatile building blocks because ring cleavage of any of the four single bonds of the β -lactam system is enhanced by ring strain.² Thus, access to diverse structural types of natural or synthetic compounds lacking the β -lactam ring have been reported by cleavage of the 2-azetidione ring through any of the four possibilities.³ In this context, our recently reported stereoselective synthesis of vinyl ethers starting from *N*-(arylidene)- or *N*-(alkylidene)amino-2-azetidiones may be illustrative.⁴

In our ongoing project directed at the synthesis and synthetic applications of functionalized 2-azetidiones⁵ and at the development of new modes of reactivity of the



2-azetidione ring,^{4,5g} we thought that the presence of groups with the ability to stabilize a positive charge at position 3 of the β -lactam ring might promote cationic rearrangements in the presence of acids, through a C3-C4 bond breakage. Initially, we focussed our attention on 3,3-dimethoxyazetidion-2-ones analogous to 1 but lacking additional nucleophilic groups. Disappointingly, compounds 1 bearing aryl or alkyl substituents at C4 of the 2-azetidione ring were resistant to reaction in the presence of protic or Lewis acids and external nucleophiles. However, when 4-imino- or 4-acyl-2-azetidiones 1 having an additional nucleophilic group were reacted in the presence of $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$ ⁶ a smooth rearrangement was observed leading cleanly to new pyrazine and/or 1,4-oxazine derivatives 2 and 3 (Scheme I). Reported here is the study of this novel acid-catalyzed rearrangement of the β -lactam ring involving the cleavage of the C3-C4 bond. As far as we know, the only related acid-catalyzed rearrangement of monocyclic β -lactams, namely the conversion of 3-(acylamino)-1,4-diphenyl-2-azetidiones to 3-(acylamino)-1,2-diphenyl-5-imidazolines in refluxing xylene in the presence of iodine, was reported by Bird,⁷ while the rearrangement of penicillin to penillonic acid is known to occur in the bicyclic series.⁸ Mechanisms involving carbocationic intermediates were proposed for these processes. In addition, C3-C4 bond cleavage through carbanion intermediates was reported to account for the base-promoted hydrolysis of β -lactams having nitrogen substituents at C4 and two phenyl substituents at C3.⁹

Results and Discussion

First, 4-acyl β -lactams 1c-e were reacted with different protic and Lewis acids. The best results were obtained by working with an equimolar amount of $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$ in CH_2Cl_2 at room temperature (Table I).¹⁰ Disappearance of

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(10) Other Lewis acids such as ZnCl_2 , ZnI_2 , TiCl_4 , and AlCl_3 were unable to promote the rearrangement.

Table I

		yield (%) ^{a,b} of 2	yield (%) ^{a,b} of 3	yield (%) ^{a,b} of 4
1a, R ¹ = R ² = H; X = NAr	2a, 95	—	—	—
1b, R ¹ = R ² = Me, X = NAr	2b, 99	—	—	—
1c, R ¹ = R ² = H; X = O	—	3c, 75 ^c	4c, 44 ^c	—
1d, R ¹ = R ² = Me; X = O	—	3d, 88	—	—
1e, R ¹ = Ph, R ² = H; X = O	—	3e, 95	—	—
1f, R ¹ = H, R ² = Me; X = (OMe) ₂	—	—	4f, 95	—

^a In pure, isolated material. ^b In all cases Ar = *p*-MeOC₆H₄, except for compounds 1f and 4f (Ar = Ph). ^c Compounds 3c and 4c were obtained under separate reactions.

the starting material occurred after a few hours. Standard workup gave the reaction product which was then purified by either crystallization or column chromatography. Compounds 1 bearing a ketal functionality at the 3 position of the four-membered ring may, in principle, be hydrolyzed to 3-oxo β -lactams, which in turn are important synthetic intermediates.¹¹ However, the isolated materials showed spectroscopic data which differed widely from that expected for 3-oxo β -lactams. Thus, compounds 3 have resonances attributable to olefinic protons in the region of 6.41–6.83 ppm in their ¹H NMR spectra while the only resonance attributable to a carbonyl group in their ¹³C NMR spectra appears at 160.0–149.8 ppm, far away from the 190.0–200.0 ppm expected for an oxo group in a 3-oxo β -lactam.^{11c,12} Spectroscopic data for compounds 3 are in good accordance with that expected for 1,4-dihydrooxazine derivatives. Specially illustrative is the reaction of compound 1c. Depending on the reaction time compound 3c or its precursor, cyclic orthoester 4c, were selectively obtained.¹³ Thus rearrangement to the six membered ring occurs prior to hydrolysis of the ketal group.

In order to prove the generality of the process above, the reactions of 4-imino β -lactams 1a,b with SnCl₂·2H₂O were carried out next (Table I). In these cases a clean, almost quantitative conversion to reaction products was obtained. The spectroscopic data of the reaction products were in good accordance with pyrazine-2,3-dione derivatives 2. These results confirm that imine groups attached to the position 4 of the β -lactam ring are also suitable to give the C3–C4 fragmentation–rearrangement process. Clearly, in these cases the rearrangement competes

favorably with the hydrolysis of the imino group, which is not the case when aqueous hydrochloric acid is used (see below).

Finally, β -lactam 1f bearing an dimethoxymethyl group at the C4 position was tested. Again, a similar rearrangement to the six-membered oxazine occurs, but the reaction time was considerably longer than for 1a–e. Moreover, the reaction of 1f stopped at the stage of ortholactone 4f which either remains unaltered at longer reaction times or decomposes when more energetic reaction conditions are used. Compound 4f deserves some additional comments. When the ¹H and ¹³C NMR spectra of ortholactone 4f were recorded in CDCl₃ at room temperature (294 K) two separate sets of signals were observed in a 2/1 ratio which suggested the possible presence of two isomeric products. When the ¹H NMR spectra of 4f was recorded in DMSO-*d*₆, the duplicity of signals still remained. However, coalescence of the signals was observed in spectra taken at higher temperatures. Molecular modeling showed that compound 4f has two preferred conformations. The above effects observed in compound 4f may be attributable to the interaction of the methyl group in 4f with the *N*-phenyl moiety, interaction which is not present in 4c, which shows one single set of resonances in both its ¹H and ¹³C NMR spectra.

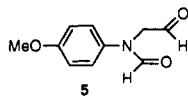
The above rearrangements also take place in the presence of protic acids (H₂SO₄ or HCl). However, yields were erratic and mixtures of the different reaction products were usually obtained. Thus, heterogeneous (CHCl₃/5% aqueous HCl) hydrolysis of β -lactam 1a, yields, depending on the reaction time, mixtures of compounds 1c, 2a, 3c, 4c, and the decomposition product formed by hydrolysis of dione 3c, the *N*-(*p*-anisyl)-*N*-(2-oxoethyl)formamide 5. ¹H NMR monitoring of the reaction of 1a shows that pyrazine 2a is formed in the initial burst of the reaction, and its concentration remains mostly unchanged with time. Hydrolysis of the imino group to aldehyde 1c competes favorably with rearrangement to pyrazine 2a, and 1c slowly rearranges to morpholine 3c through ortholactone 4c. Finally, compound 3c is decomposed to 5, after several days.

It seems clear from the above data that both imino and carbonyl groups in compounds 1 are prone to promote the rearrangement to compounds 2–4. Reaction pathways in Scheme II may account for the observed reaction products. Both mechanistic rationalizations rest in coordination of tin (or the proton if a protic acid is used) to the starting material as the promoter of the rearrangement. Path A involves coordination of tin to the group at C4 to yield intermediate 6 which would evolve by C3–C4 bond breakage due to the enhanced reactivity of the double bond and the ability of the ketal to stabilize the emerging carbocation at C3 to give 7. Annulation of intermediate 7 renders compounds 4, which are further hydrolyzed giving the final products 2 and 3, except for compound 1f which yields exclusively ortholactone 4f upon treatment with tin(II) chloride. Alternatively, compounds 1 upon dicoordination at the ketal functionality to yield 8, may evolve through a concerted or stepwise six-electron rearrangement to compounds 4.¹⁴

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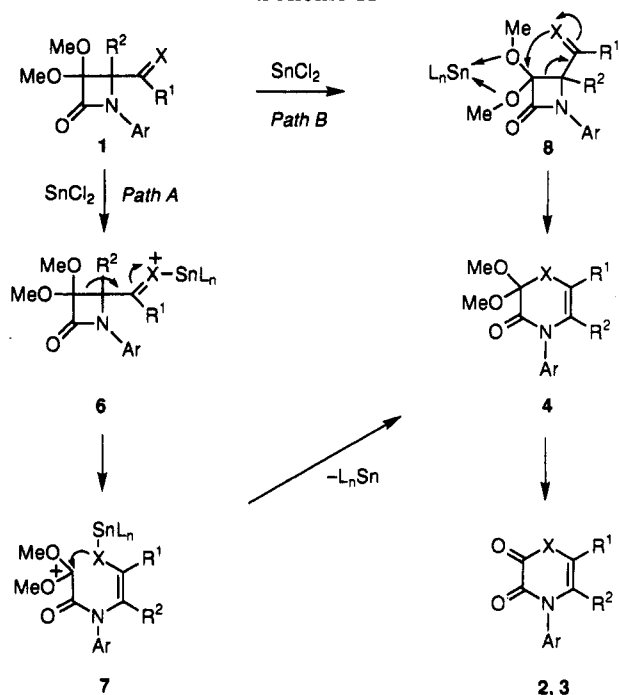
(12) Alcaide, B.; Martín-Cantalejo, Y., unpublished results.

(13) Compound 4c was quantitatively converted into dihydrooxazine 3c under the reaction conditions used obtaining 3c directly from 1c. Longer reaction time (24 h) promotes the formation of formamide 5, through hydrolysis–decarboxylation of dione 3c.

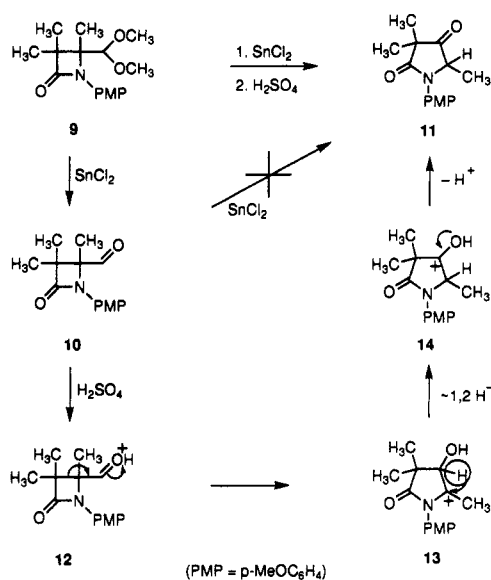


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Scheme II



Scheme III

(PMP = *p*-MeOC₆H₄)

The key feature of the process above is the presence of a ketal moiety at the C3 of the 2-azetidinone ring. Thus, the ketal group in β -lactam 9, bearing two methyl groups at C3, is smoothly hydrolyzed by $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$ to the corresponding aldehyde 10, the final reaction product in these conditions. When more energetic conditions were used (concentrated sulfuric acid), a new product, whose spectroscopic and analytical data are in good accordance with the structure of γ -lactam 11, is formed. In this case, a different type of C3-C4 bond breakage, through the initial shift of the C3-C4 bond to the protonated aldehyde group to give the five-membered ring carbocationic intermediate 13, occurs. A 1,2-hydride shift to form intermediate 14 followed by loss of a proton would give 11 (Scheme III).

The change in reaction pathway for compound 10 supports the decisive role of the methoxy groups at C3 in promoting the rearrangement of compounds 1, but does

not allow an unequivocal choice between the two reaction pathways in Scheme II. It can be argued, for example, that tin coordination of the carbonyl group of compounds 1c-e should promote a reaction analogous to that observed in compound 10. Subsequently, formation of five-membered ring compounds analogous to 11 should be observed in these cases. Since this is not the case, path B involving coordination at the ketal moiety should be responsible for the observed rearrangement. However, it is equally reasonable to propose that the ability of two methyl groups to stabilize a carbocation at C3 is not enough to promote the cationic rearrangement in Scheme II, two methoxy groups being needed indeed.

In conclusion, a novel, $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$ -promoted carbocationic rearrangement of β -lactams, having a ketal functionality at the C3 carbon and a nucleophilic sp^2 (imino or carbonyl) group at C4 to yield novel pyrazine-2,3-diones or dihydro-1,4-oxazines derivatives, is reported. On the basis of experimental evidence, this process is thought to occur through C3-C4 bond breakage promoted by the ability of the ketal group to stabilize an emerging positive charge on the ketal carbon.

Experimental Section

General. General experimental data and procedure have been previously reported.^{5a} Compounds 1a, 1b, 1f, and 9 were prepared by standard lithium enolate-imine methodology.^{5c} Compounds 1c and 1d were prepared by acid hydrolysis of 1a and 1b. Compound 1e was prepared according to literature procedure.^{5d} See supplementary material for full experimental procedure and spectroscopic data.

General Procedure for the Reaction of Compounds 1 with $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$. To a stirred suspension of $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$ (0.5 mmol) in CH_2Cl_2 (10 mL) cooled to 0 °C was added a solution of the corresponding β -lactam (0.5 mmol) in the same solvent (10 mL). The ice-water bath was removed, and the mixture was stirred at rt for the time indicated. After diluting with CH_2Cl_2 and filtering through Celite, the solvent was removed in vacuo. Whenever possible, crude products were purified by crystallization (solids) or flash chromatography (oils, silica gel, hexanes/EtOAc 4:1), after ¹H NMR examination.

1,4-Di-(*p*-anisyl)-2,3-dioxo-1,2,3,4-tetrahydropyrazine (2a). Reaction time: 20 h. From 100 mg (0.28 mmol) of 1a and $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$ (62 mg, 0.28 mmol) was obtained 81 mg (95%) of compound 2a after crystallization as a white solid: mp 296-297 °C (EtOAc); ¹H-NMR δ 3.84 (s, 6H, 2 × OCH₃), 6.37 (s, 2H, CH=CH), 6.98 (d, 4H, *J* = 9.0 Hz, Ar), 7.35 (d, 4H, *J* = 9.0 Hz, Ar); ¹³C-NMR δ 159.3, 155.6, 132.1, 126.6, 114.6, 113.5, 55.4; IR (KBr) 1680; MS *m/z* 324 (*M*⁺, parent), 296 (*M* - 28), 281, 267, 253, 148, 134, 92, 77. Anal. Calcd for C₁₈H₁₆N₂O₄: C, 66.66; H, 4.97; N, 8.64. Found: C, 66.53; H, 4.99; N, 8.69.

1,4-Di-(*p*-anisyl)-5,6-dimethyl-2,3-dioxo-1,2,3,4-tetrahydropyrazine (2b). Reaction time: 7 h. From 100 mg (0.25 mmol) of 1b and $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$ (56 mg, 0.25 mmol) was obtained 81 mg (99%) of compound 2b after crystallization as a white solid: mp >290 °C dec (EtOAc/hexanes); ¹H-NMR δ 1.81 (s, 6H, 2 × CH₃), 3.85 (s, 6H, 2 × OCH₃), 7.01 (d, 4H, *J* = 9.0 Hz, Ar), 7.16 (d, 4H, *J* = 9.0 Hz, Ar); ¹³C-NMR δ 159.5, 156.3, 130.3, 128.7, 116.4, 114.8, 55.4, 16.5; IR (KBr) 1690; MS *m/z* 352 (*M*⁺), 324 (*M* - 28), 148 (parent), 107, 92, 77. Anal. Calcd for C₂₀H₂₀N₂O₄: C, 68.17; H, 5.72; N, 7.95. Found: C, 68.25; H, 5.67; N, 7.86.

4-(*p*-Anisyl)-3,4-dihydro-2,2-dimethoxy-3-oxo-2H-1,4-oxazine (4c). Reaction time: 15 min. From 600 mg (1.62 mmol) of 1c and $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$ (364 mg, 1.62 mmol) was obtained 189 mg (44%) of compound 4c after crystallization as a white, crystalline solid: mp 61-63 °C (EtOAc/hexanes); ¹H-NMR δ 3.52 (s, 6H, 2 × OCH₃), 3.80 (s, 3H, OCH₃), 5.88 (d, 1H, *J* = 4.5 Hz, =CH), 6.22 (d, 1H, *J* = 4.5 Hz, =CH), 6.92 (d, 2H, *J* = 8.7 Hz, Ar), 7.24 (d, 2H, *J* = 8.7 Hz, Ar); ¹³C-NMR δ 158.7, 158.0, 131.6, 126.6, 126.3, 114.4, 112.6, 108.8, 55.4, 51.2 (2C); IR (KBr) 1700. MS *m/z* 265 (*M*⁺), 237 (*M* - 28), 234, 208 (parent), 206, 191, 134, 132, 92, 77,

59. Anal. Calcd for $C_{13}H_{15}NO_3$: C, 58.86; H, 5.70; N, 5.28. Found: C, 58.89; H, 5.86; N, 5.23.

3,4-Dihydro-2,2-dimethoxy-5-methyl-3-oxo-4-phenyl-2H-1,4-oxazine (4f). Reaction time: 65 h. From 120 mg (0.41 mmol) of **1f** and $SnCl_2 \cdot 2H_2O$ (92 mg, 0.41 mmol) was obtained 96 mg (95%) of compound **4f** after chromatography as a colorless oil: 1H -NMR (minor) 1.71 (d, 3H, $J = 1.5$ Hz, CH_3), 3.63 (s, 3H, OCH_3), 3.83 (s, 3H, OCH_3), 6.00 (d, 1H, $J = 1.5$ Hz, $CH=$), 7.21–7.30 (m, 5H, Ar); (major) 1.66 (d, 3H, $J = 1.2$ Hz, CH_3), 3.63 (s, 3H, OCH_3), 3.83 (s, 3H, OCH_3), 6.10 (q, 1H, $J = 1.2$ Hz, $CH=$), 7.21–7.30 (m, 5H, Ar); 1H -NMR (348 K, $DMSO-d_6$) δ 1.58 (s, 3H, CH_3), 3.59 (s, 3H, OCH_3), 3.76 (s, 3H, OCH_3), 6.38 (s, 1H, $CH=$), 7.26–7.41 (m, 5H, Ar); ^{13}C -NMR δ (minor) 163.0, 160.1, 143.2, 139.4, 129.2, 128.0, 126.6, 113.6, 60.1, 52.2, 29.6; (major) 163.5, 161.4, 145.7, 137.5, 129.0, 126.9, 125.3, 115.3, 60.1, 52.2, 29.6; IR ($CHCl_3$) 1700, 1640; MS m/z 249 (M^+), 217, 189, 161, 117 (parent), 77. Anal. Calcd for $C_{13}H_{15}NO_4$: C, 62.62; H, 6.07; N, 5.62. Found: C, 62.71; H, 6.00; N, 5.58.

4-(*p*-Anisyl)-3,4-dihydro-2,3-dioxo-2H-1,4-oxazine (3c). Reaction time: 2.5 h. From 600 mg (1.62 mmol) of **1c** and $SnCl_2 \cdot 2H_2O$ (364 mg, 1.62 mmol) was obtained 266 mg (75%) of compound **3c** after chromatography as a colorless oil: 1H -NMR δ 4.86 (s, 3H, OCH_3), 6.41 (d, 1H, $J = 4.8$ Hz, $=CH$), 6.70 (d, 1H, $J = 4.8$ Hz, $=CH$), 6.98 (d, 2H, $J = 9.0$ Hz, Ar), 7.27 (d, 2H, $J = 9.0$ Hz, Ar); IR ($CHCl_3$) 1780, 1740, 1710. Anal. Calcd for $C_{11}H_9NO_4$: C, 60.28; H, 4.14; N, 6.39. Found: C, 59.87; H, 4.40; N, 6.41.

4-(*p*-Anisyl)-3,4-dihydro-5,6-dimethyl-2,3-dioxo-2H-1,4-oxazine (3d). Reaction time: 1.5 h. From 100 mg (0.34 mmol) of **1d** and $SnCl_2 \cdot 2H_2O$ (76 mg, 0.34 mmol) was obtained 74 mg (88%) of compound **3d** after chromatography as a white solid: mp 178–180 °C ($MeOH/Et_2O$); 1H -NMR δ 1.65 (s, 3H, CH_3), 2.11 (s, 3H, CH_3), 3.82 (s, 3H, OCH_3), 6.97 (d, 2H, $J = 9.0$ Hz, Ar), 7.08 (d, 2H, $J = 9.0$ Hz, Ar); ^{13}C -NMR δ 160.0, 154.7, 151.7, 131.7, 128.8, 128.7, 115.5, 115.0, 55.5, 15.7, 14.8; IR (KBr) 1760, 1700, 1680; MS m/z 247 (M^+), 219 ($M - 28$), 148 (parent), 92, 77. Anal. Calcd for $C_{13}H_{13}NO_4$: C, 63.15; H, 5.30; N, 5.66. Found: C, 63.03; H, 5.38; N, 5.88.

4-(*p*-Anisyl)-3,4-dihydro-2,3-dioxo-6-phenyl-2H-1,4-oxazine (3e). Reaction time: 2 h. From 100 mg (0.30 mmol) of **1e** and $SnCl_2 \cdot 2H_2O$ (68 mg, 0.30 mmol) was obtained 84 mg (95%) of compound **3e** after crystallization as a pale yellow solid: mp 130–140 °C dec ($EtOAc$); 1H -NMR δ 3.85 (s, 3H, OCH_3), 6.83 (s, 1H, $=CH$), 7.02 (d, 2H, $J = 9.0$ Hz, Ar), 7.35–7.59 (m, 7H, Ar); ^{13}C -NMR δ 159.6, 154.1, 149.8, 137.1, 130.8, 129.2, 129.1, 128.9, 126.4, 123.6, 114.7, 109.7, 55.5; IR (KBr) ν 1760, 1690; MS m/z (M^+), 267 ($M - 28$), 208, 180, 134 (parent), 107, 92, 77, 63. Anal. Calcd for $C_{17}H_{13}NO_4$: C, 69.15; H, 4.44; N, 4.74. Found: C, 69.23; H, 4.51; N, 4.60.

***N*-(*p*-Anisyl)-*N*-(2-oxoethyl)formamide (5).** Reaction time: 24 h. From 230 mg (0.87 mmol) of **1c** and $SnCl_2 \cdot 2H_2O$ (195

mg, 0.87 mmol) was obtained 59 mg (35%) of compound **5** after chromatography as a pale yellow oil: 1H -NMR δ 3.79 (s, 3H, OCH_3), 4.49 (s, 2H, CH_2), 6.89 (d, 2H, $J = 9.0$ Hz, Ar), 7.18 (d, 2H, $J = 9.0$ Hz, Ar), 8.40 (s, 1H, $CH=O$), 9.63 (s, 1H, $CH=O$); ^{13}C -NMR δ 196.7, 163.4, 159.7, 134.4, 126.9, 115.7, 57.1, 56.4; IR ($CHCl_3$) 3350, 1740, 1670; MS m/z 193 (M^+), 164 ($M - 29$), 135 (parent), 108, 77. Anal. Calcd for $C_{10}H_{11}NO_3$: C, 62.16; H, 5.75; N, 7.24. Found: C, 62.19; H, 5.69; N, 7.31.

1-(*p*-Anisyl)-3,3-dimethyl-4-formyl-4-methylazetidino-2-one (10). Reaction time: 12 h. From 95 mg (0.34 mmol) of **9** and $SnCl_2 \cdot 2H_2O$ (76 mg, 0.34 mmol) was obtained 79 mg (95%) of compound **10** after crystallization as a white solid: mp 103–105 °C ($EtOAc$ /hexanes); 1H -NMR δ 1.30 (s, 3H, CH_3), 1.35 (s, 3H, CH_3), 1.58 (s, 3H, CH_3), 3.78 (s, 3H, OCH_3), 6.86 (m, 2H, Ar), 7.26 (m, 2H, Ar), 9.95 (s, 1H, $CH=O$); ^{13}C -NMR δ 202.6, 170.3, 156.6, 129.8, 119.1, 114.5, 70.1, 57.7, 55.4, 19.0, 18.6, 13.8; IR (KBr) 1755, 1730. Anal. Calcd for $C_{14}H_{17}NO_3$: C, 67.98; H, 6.93; N, 5.67. Found: C, 67.71; H, 7.06; N, 5.85.

Synthesis of 1-(*p*-Anisyl)-3,3,5-trimethylpyrrolidino-2,4-dione (11). A solution of 25 mg (0.1 mmol) of **10** in chloroform (5 mL) was vigorously stirred with 2 drops of concd H_2SO_4 at room temperature for 8 h. The reaction was quenched with water (2 mL), the aqueous layer was extracted with chloroform (3×10 mL), and all the organics were successively washed with 5% $NaHCO_3$ and water and dried ($MgSO_4$). After filtration and evaporation of the solvent, the crude product was purified by column chromatography (silica gel, hexanes/ $EtOAc$ 4:1), yielding **11** as a pale yellow oil: yield 75%; 1H -NMR δ 1.31 (s, 3H, CH_3), 1.32 (d, 3H, $J = 6.6$ Hz, CH_3), 1.34 (s, 3H, CH_3), 3.80 (s, 3H, OCH_3), 4.44 (q, 1H, $J = 6.6$ Hz, CH), 6.91 (m, 2H, Ar), 7.30 (m, 2H, Ar); ^{13}C -NMR δ 213.4, 174.5, 157.9, 128.7, 125.7, 114.5, 61.8, 55.5, 47.1, 21.6, 21.2, 16.2; IR ($CHCl_3$) 1770, 1700. Anal. Calcd for $C_{14}H_{17}NO_3$: C, 67.98; H, 6.93; N, 5.67. Found: C, 68.03; H, 6.89; N, 5.73.

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Supplementary Material Available: Additional procedures and compound characterization data (4 pages). This material is contained in libraries on microfiche, immediately follows this article in the microfilm version of the journal, and can be ordered from the ACS; see any current masthead page for ordering information.